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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 2

### Complete if Known

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/928,139        |
| Filing Date            | August 10, 2001   |
| First Named Inventor   | Marianne Langston |
| Group Art Unit         | 1625              |
| Examiner Name          | unknown           |
| Attorney Docket Number | GJE-136D1         |

### U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | U.S. Patent Document |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|----------------------|-----------------------------------|---|--|---|
|                    |                       | Number               | Kind Code <sup>2</sup> (if known) |   |  |   |
|                    | U1                    | 2,957,880            |                                   | Remetsch et al.                                 | 10-25-1960                                       | All   |
|                    | U2                    |                      |                                   |   |  |   |
|                    | U3                    |                      |                                   |   |  |   |
|                    | U4                    |                      |                                   |   |  |   |
|                    | U5                    |                      |                                   |   |  |   |
|                    | U6                    |                      |                                   |   |  |   |
|                    | U7                    |                      |                                   |   |  |   |
|                    | U8                    |                      |                                   |   |  |   |
|                    | U9                    |                      |                                   |   |  |   |
|                    | U10                   |                      |                                   |   |  |   |
|                    | U11                   |                      |                                   |   |  |   |
|                    | U12                   |                      |                                   |   |  |   |
|                    | U13                   |                      |                                   |   |  |   |
|                    | U14                   |                      |                                   |   |  |   |

### FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document |                     |                                   | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|-------------------------|---------------------|-----------------------------------|---|--|---|----------------|
|                    |                       | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup> (if known) |   |  |   |                |
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|                    | F8                    |                         |                     |                                   |   |  |   |                |
|                    | F9                    |                         |                     |                                   |   |  |   |                |
|                    | F10                   |                         |                     |                                   |   |  |   |                |

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2 of 2

**Complete if Known**

Application Number 09/928,139  
Filing Date August 10, 2001  
First Named Inventor Marianne Langston  
Group Art Unit 1625  
Examiner Name unknown  
Attorney Docket Number GJE-136D1

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**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|-----------------------|--|----------------|
|                    | R1                    | BARRY, L.G. et al. "Racemization of $\alpha$ -amino Acid Esters of Aliphatic Ketons in the Presence of Carboxylic Acids" <i>Int. J. Pept. Protein Res.</i> , 1993, Chemical Abstract 149:73084.  |                |
|                    | R2                    | JACQUES, J. et al. "Enantiomers, Racemates, and Resolutions" <i>Formation and Separation of Diastereomers</i> (1991) pp. 259-261, Krieger Publishing Company, Malabar, Florida.  |                |
|                    | R3                    | MILLER, S.M. et al. "Racemization of 6-oxo-2-piperidine-carboxylic Acid Enantiomers" <i>Brit.</i> , 1997, Chemical Abstract 94:47148.  |                |
| cl                 | R4                    | PATRICK, K. et al. "Pharmacology of the Enantiomers of <i>threo</i> -Methylphenidate" <i>The Journal of Pharmacology and Experimental Therapeutics</i> , January 8, 1987, pp. 152-158, 241(1).   |                |
|                    | R5                    | SHAFLEE, A. et al. "The Absolute Configurations of the Pheniramines, Methyl Phenidates, and Pipradrols" <i>J. Med. Chem.</i> , March 1969, pp. 266-270, Vol. 12.   |                |
|                    | R6                    |  |                |
|                    | R7                    |  |                |
|                    | R8                    |  |                |
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